



# UNITED STATES PATENT AND TRADEMARK OFFICE

*lu*  
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/336,363	06/17/1999	KOJI MATSUYAMA	FUJO16.216	7540
26304	7590	08/07/2007		
KATTEN MUCHIN ROSENMAN LLP			EXAMINER	
575 MADISON AVENUE			KIM, KEVIN	
NEW YORK, NY 10022-2585			ART UNIT	PAPER NUMBER
			2611	
			MAIL DATE	DELIVERY MODE
			08/07/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

**Application No.**

09/336,363

**Applicant(s)**

MATSUYAMA ET AL.

**Examiner**

Kevin Y. Kim

**Art Unit**

2611

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 18 May 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 13-15, 17 and 18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 15 is/are allowed.
- 6) ☒ Claim(s) 13, 14, 17, 18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments filed May 18, 2007 have been fully considered but they are not persuasive.

Applicant amended the rejected claims such that now the claimed invention requires the common spreading code used for the first correlation is different from the plurality of spreading codes used for the second correlation, and appears to argue that the prior art fails to teach that feature. However, the admitted prior art describes that the plurality of spreading codes used for the second correlation are "inherent in each base station" (see page 8, lines 21-22 in particular) while the spreading code for the first correlation of a perch channel is common to each base station (see page 3, lines 1-2). Thus, the combination of the admitted prior art and Sawahashi et al proposed in the previous Office action still reads on the amended claims.

### ***Claim Rejections - 35 USC § 103***

2. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
3. Claims 13,14,17 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over the admitted prior art in view of Sawahashi et al (US 5,768,306 previously cited).

Consider claims 13, 17 and 18. The admitted prior art, depicted in Fig.1 and described "Description of the Related Art" of the present application shows a spread spectrum receiver/method that is used in a mobile station operating in a DS-CDMA communication system. The receiver performs a first correlation (1001) between a received signal and a pre-assigned spreading code (1012) and a second correlation (1005) between the received signal and

Art Unit: 2611

a plurality of spreading codes (1006), based on the timing determined from the first correlation operation, page 8, line 18 – page 9, line 1. The admitted prior, though, fails to teach “a storage unit” for storing the received signal and “a control unit” using the stored signal for performing the first and second correlations.

Referring to Fig.4, Sawahashi et al discloses a sliding correlator used in a CDMA system for initial synchronization. A received signal is stored in a memory (43), col.6, lines 7-8, until a correct timing and PN sequence is identified. Specifically, the stored signal is read out from the memory at a rate higher than the chip rate under control of a control unit (41) and correlated with a pre-assigned spreading code. Col.6, lines 13-19. The phase of the spreading code is adjusted and correlated with the same received signal that is again read from the memory, until the correlation value exceeds a predetermined threshold. Col.6, lines 24-36. The storing of a received signal in a memory allows the same received signal to be repeatedly correlated with a spreading code, each time with a different phase, during one chip period so that a faster initial synchronization can be established. Col.6, lines 37-51. Furthermore, since the same spreading code would have been used for both the first and second correlations when the prior art references are combined as proposed by examiner, it is only natural that the received signal must be stored in the storage unit, i.e., a memory, for a period of time at least until both correlation determinations have been performed.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to provide a storage unit, i.e., a memory, for storing the received signal in the prior art receiver and a control unit to read the received signal from the memory to correlators (1001 and 1005), which allows repeated correlation of the received signal with varying phases of a

spreading code for the purpose of establishing a faster initial synchronization as taught by Sawahashi et al.

Regarding claim 14, all the subject matter identical to that of claim 13 has been discussed above, except for “determining which of the N (>2) spreading codes is attributable to the base station that has transmitted the received signal.” Page 10, lines 17- 22 describes determining a spreading used by a base station if the correlation value exceeds a predetermined value. In other words, one of spreading codes stored in the timing code storage circuit (1011) is identified as the spreading code after one or more correlation operations.

*Allowable Subject Matter*

5. Claim 15 is allowed.

*Conclusion*

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Art Unit: 2611

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin Y. Kim whose telephone number is 571-272-3039. The examiner can normally be reached on 8AM --5PM M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shuwang Liu can be reached on 571-272-3036. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

August 3, 2007

AU 2611

KEVIN KIM  
PRIMARY PATENT EXAMINER

*1/13/07*